

STATE HEALTH PLANNING AND DEVELOPMENT AGENCY

100 NORTH UNION STREET, SUITE 870 MONTGOMERY, ALABAMA 36104

MEMORANDUM

DATE:

March 19, 2021

TO:

All Interested Parties

FROM:

Emily T. Marsal

Executive Director

SUBJECT:

Reviewability Determination Request (RV2021-011)

The attached request for a reviewability determination has been received. Any affected person may file written comments regarding this request, per 410-1-7-.02 of the *Alabama Certificate of Need Program Rules and Regulations*, by April 30, 2021.

Pursuant to ALA. ADMIN. CODE r 410-1-3-.09, all documents to be filed with the Agency must be submitted to shpda.alabama.gov in PDF, text searchable format.

Enclosure: see attached

March 5, 2021

Via email: shpda.online@shpda.alabama.gov

Hon. Emily T. Marsal
Executive Director
State Health Planning and Development Agency
100 North Union Street
RSA Union Building, Suite 870
Montgomery, AL 36130-3025

Re: Non-Reviewability Determination Request for Parker Cornea, Inc.

Dear Ms. Marsal:

On behalf of Parker Cornea, Inc., we are writing pursuant to Ala Admin Code Rule 410-1-7-.02 to request a determination of non-reviewability in accordance with the Alabama State Health Planning and Development Agency's certificate of need (CON) program rules and regulations. Specifically, we respectfully request a determination of non-reviewability regarding the operation of an office based surgery suite in our office located at 700 18th St. South, Suite 711, Birmingham, AL 35233.

Parker Cornea, Inc. is a physician owned practice entirely owned by John S. Parker, Sr., MD and John S. Parker, Jr., MD. The service area of our request is ostensibly Birmingham, AL, but we draw the majority of our patients from other parts of our state as well as from throughout the southern United States.

Healthcare services currently offered in the Parker Comea office include: cataract/lens implant surgery, keratoplasty, and micro-invasive glaucoma surgery. We are not proposing to add additional services; we are proposing to make our services available to additional numbers of insured patients.

No new equipment or construction is needed. We are using our current office space and no additional leased space is required. We are employing our existing staff, and no new employees are required.

The purpose of this letter is to demonstrate that our request falls within the criteria of the Physician Office Exemption and beneath the threshold established for CON review. Offices of private physicians are not "healthcare facilities" per Ala Code 22-21-260(6), and "health services" do not include " the lawful practice of any profession or vocation conducted independently of a healthcare facility and in accordance with applicable licensing laws of the state" under Ala Code 22-21-260(6).

The criteria adopted by the Alabama Supreme Court for the Physician Office Exception in Exparte Sacred Heart Health Systems, Inc. – So.3d– (Ala 2012) are as follows:

- The proposed services are to be provided, and the related equipment used, exclusively by the physicians identified as owners or employees of the physicians' practice for the care of their patients.
- 2. The proposed services are to be provided, and related equipment used, at any office of such physicians.
- 3. All patient billings related to such services are through, or expressly of behalf of, the physicians' practice.
- The equipment shall not be used for inpatient care, nor by, through or on behalf of a healthcare facility.

Parker Comea, Inc. meets the four requirements set forth by the Alabama Supreme Court as follows:

- Procedures will be performed only by physician owners or physicians employed by Parker Comea, Inc. and the related equipment shall be used exclusively by the physicians identified as owners or employees.
- All procedures will be performed in the private physician office of Parker Comea, Inc.
 currently located at 700 18th Street South, Suite 711, Birmingham, AL 35233. All
 equipment necessary for the performance of the procedures will be used only in the
 office of Parker Comea, Inc.
- 3. The patient billing related to such services are through, or expressly on behalf of the private physician office of Parker Cornea, Inc.
- 4. At no time will the equipment identified for the performance of the office-based procedures provided in this letter be used for Inpatient care, nor by, through or on behalf of a healthcare facility.

Based on the above, our proposed non-reviewability designation conforms with the criteria adopted by the Alabama Supreme Court for application of the Physician Office Exemption. We respectfully request that the Alabama State Health Planning and Development Agency grant a determination of non-reviewability to Parker Comea, Inc. for use as described above.

No healthcare facilities or HMOs have any financial interest in Parker Cornea, Inc. An electronic payment of \$1000.00 for the filling fee with respect to this request will be sent today via the SHPDA online payment portal.

Please do not hesitate to contact us at 205-933-1077 with any questions. Thank you for your consideration.

Sincerely,

John Parker, Sr., MD

John Parker, Jr., MD

Affirmation of Requesting Parties:

The undersigned, being first duly sworn, hereby make oath or affirm that they, sole owners of Parker Cornea, Inc., have knowledge of the facts in this request, and to the best of their information, knowledge and belief, such facts are true and correct.

STATE HEALTH PLANNING AND DEVELOPMENT AGENCY

March 15, 2021

Via email: shpda.online@shpda.alabama.gov

Hon. Emily T. Marsal
Executive Director
State Health Planning and Development Agency
100 North Union Street
RSA Union Building, Suite 870
Montgomery, AL 36130-3025

Re: Non-Reviewability Determination Request for Parker Comea, Inc.

Dear Ms. Marsal:

Regarding the six questions you posed in your response of 3/12/21:

- 1. The services are provided in Jefferson County.
- The specified procedures are performed at 700 18th St. S., Ste 711, Birmingham, AL 35233.
- An attached superbill lists every CPT code currently performed by Parker Cornea physicians, that is, "the entirety of healthcare services currently offered by Parker Cornea."
- If costs are estimated at \$800/case, and we average 7 cases/week, we can estimate that
 operating costs will be about 7 x 800 x 50 = \$280,000 for the first year.
- General anesthesia is not used at any time.
- 6. Ocular emergencies will be handled in the adjoining UAB Callahan Eye Hospital where all Parker Comea physicians have admitting privileges. Life threatening emergencies will be handled via the emergency 911 network and the UAB ER where Parker Cornea physicians are members of the Consulting Staff. Anesthesia monitoring, vital signs monitoring, oxygen and AED will be used as necessary prior to emergency transfer.

Please let us know if we can provide any further information.

Sincerely,

John Parker, Sr., MD

John Parker, Jr., MD

FAX: (205) 930-0829 FEDERAL TAX ID: 473756681

SUITE 711 700 18TH ST SO

BIRMINGHAM, AL 35233-3802

Parient Name & Address:

DOS: HOME PHONE: INSTA INS2: 1 COPAY: \$0.00 / \$0.00 PT BALANCE: \$0.00

VISIT #M CHART #: PRE AUTH #: INS BALANCE: Mar 15 2021 8

The state of the s	Procedur	re Codes	
CODE DESCRIPTION MOD	CODE DESCRIPTION MOD	CODE DESCRIPTION MOD	CODE DESCRIPTION MOD
EYE VISITS	99241 OFFICE CONSULT 20 M	68440 P SNIP+ E3 E4 E1 E2	65920 DOL REMOVAL
92002 EYE EXAM, NEW INT	99247 OFFICE CONSULT 30 M	65778 PROKERA R L	66825 JOL REPOSITION R L
92004 EYE EXAM, NEW PATIEN	99243 OFFICE CONSULT 40 M	67505 RETROB ALC INJ* R L	66682 JIRIS REPAIR MOCAN SU
92012 EYE EXAM, ESTAB INT	99244 OFFICE CONSULT 50 M	68200 SUB-CONJ INJ* R L	0191T DSTENT R L
92014 EYE EXAM, ESTAB COMP	99245 OFFICE CONSULT 80 M	67515 SUB-TENONS INV R L	65400 KERATECTOMY R L
92015 REFRACTION	INPATIENT EXAM	67710 TARSOR OPENING* R	65730 KERATOPLASTY R L
STD CODES	PARAL INITIAL HOSPITAL CARE	65286 TESS AD APPL R L	65750 KERATOPL, APH R L
68100 BIOP COND 10 R L	99222 INITIAL HOSPITAL CARE	LASER CENTER PROCEDURES	65756 KERATOPL, DMEK R L
99212 RTN VISIT, EXPANDED	65410 BTOP CORNEA* R L	67210 FOCAL R L	65710 KERATOPL, LAM R L
99204 NEW VISIT, MOD COMP	99223 INITIAL HOSPITAL CARE	66821 CAPSULOTOMY R L	65755 KERATOPL, PSEU R L
99205 NEW VISIT, COMPLEX	99232 SUBSEQ HOSP CARE 25	66761 BRIDOTOMY R L	65770 KERATOPROSTHESIS R
99421 TELEMED < 11 MIN	99233 SUBSEQ HOSP CARE 35	67228 PRP R L	65426 PTERYGIUM EX R L
99422 TELEMED 11- 20 MIN	99238 HOSPITAL DISCHARGE	65855 SLT 10 R L	65772 RELAXING INCIS R L
99423 TELEMED > 20 MIN	99239 >30 MIN HOSP D/C	OR PROCEDURES	65280 REPAIR GLOBE R L
SPECIAL SERVICES	OFFICE PROCEDURES	66185 AQ SHUNT REV R L	65285 REPAIR GLOBE W UVEA
92285 ANT SEGMENT PHOTO	57700 BLEPHAROTOMY" R. L.	65779 AM MEM 1 LAYER	65870 SYNECHIOL ANT R L
76519 A SCAN (-25) R L	65205 CONU FB REN* R L	65780 AM MEM MULT LAYER R	57880 TARSORRHAPHY R L
76512 B SCAN	0402T Ott* R L	68362 CONJ FLAP, TOTAL R L	67882 TARS W TARS TR R L
92025 CORNEAL MAP	65430 K EP, DIAG REM* R L	58320 CONJ GRAFT R L	59986 TORIC JOL
92020 GONIOSCOPY	55435 K EP, THER REM* R L	56710 CYCLOPHOTO EXT R L	65170 TRAB R L
92132 OCT ANT SEG	65222 K FB REMOVAL* R L	56984 ECCE/IOL R L	66172 TRAB PR SUR R L
92133 OCT NERVES	65600 K STROMAL PUN R L	56962 ECCE/IOL COMP R L	67036 VITRECT, TPPV R L
92134 OCT RETINA	67820 EPILA* E3 E4 E1 E2	65436 EDTA SCRUB R L	66250 WOUND REVISION R L
92136 OP BIOM R L	67800 EXCIS CHAL* R L	92019 EUA LIMITED	66 A XEN EXT AP R L
92250 OPTOS/ FUNDUS PHOT	67840 EXCIS LED LES* R L	65820 GONIOTOMY R L	
76514 PACHYMETRY	66020 INDECT AIR INTO AC R	65785 ENTACS R L	
92286 SPECULAR MICRO	65800 PARACENTESIS* R L	67028 INTRAVIT IND R L	
92083 VISUAL FIELD EXAM	68801 P DIL* E3 E4 E1 E2	66986 BOL EXCHANGE R L	
CONSULT	68761 P PLG 10 E3 E4 E1 E2	66985 DOLINSERTION R L	

Diagnosis Codes DESCRIPTION DESCRIPTION CODE DESCRIPTION DESCRIPTION CODE D48.7 NEOPLASM, EYE UNCERT AMAUROSES FUGAX CORNEAL ECTASIA PH40.1133 GLAUCOMA, SEVERE G45.3 H18.71 H53.009 ANELYOPIA, UNSPEC H18.23 COR EDEMA, SECONDARY H40.1134 GLAUCOMA,, UNSPEC D48.5 NEOPLASM, LID UNCERT APHAKIA, UNSP EYE H27.00 H18.83 CORNEAL EROSION Z79.899 HIGH RISK RX 201.00 NORMAL EXAM OPTIC NEURITIS S05.00XA CORNEAL ABRASION CORNEAL NEO H16.40 H21.00 HYPHEMA, UNSPEC EYE H45 G24.5 BLEPHAROSPASM H17.1 COR OPACITY, CENTRAL T85.29XA IOL COMP INITIAL H47.019 OPTIC NEUROPATHY H01.9 BLEPHARITS H16.01 CORNEAL ULCER, CENT T85.29XD TOL COMP F/U H57.10 PAIN, UNSPEC EYE H34.23 BRAO CORNEAL ULCER, PERF IRITIS PSEUDOTUMOR CEREBRI H16.07 H20.9 G93.2 H34.83 BRVO H16.04 CORNEAL ULCER, PERIPH H21.9 IRIS DESORDER, UNSPEC H11.009 PTERYGRUM H25.811 KERATITES CATARACT, RI H34.1 CRAO H16.9 H02.409 PTOSES, UNSPEC CATARACT, LT H25 R12 H16.223 H33.32 RETINAL HOLE H34.81 KERATITIS SICCA CRWO H26.499 CATARACT, SECOND E11.331 DIAB RET, BGRD H18,429 KERATOPATHY, BAND H33.31 RETINAL TEAR H00.19 CHALAZION E11.351 DIAB RET, PROLIF H16.219 KERATOPATHY, EXPOSUR RETINAL DETACHMENT H33.8 DIABETES W/O OPHTH PR KERATOPLASTY COMP R H35.52 H11.423 CHEMOSIS E11.9 TS5.8491 RETINITIS PIGMENTOSA H31,421 T85.8492 CHOR EFFURT RETINOPATHY, HTN HS3.2 DIPLOPIA KERATOPLASTY COMP H35.033 H31.422 KERATOPLASTY, 5/P CHOR EFFU LT H02.109 ECTROPION 294.7 L71.9 ROSACEA MAC, ARMD, DRY MAC, ARMD, WET CICATRICIAL PEMPHISOI ENTROPION SCLERITIS, UNSP EYE L12.1 H02.009 H35.3130 H15.009 CONJUNCTIVOCHALASIS H11.823 H04.229 EPIPHORA, UNSPEC SIDE H35.3230 H11.30 SUBCONJ HEM H10.33 CONJUNCTIVITIS, ACUTE H50.00 **ESOTROPIA** M59.03 MAC CHE H21.509 SYNECH, LINSP H10,403 CONJUNCTIVITIS, CHRON H50.10 EXOTROPLA H35,369 MAC, DRUSEN TRICHIASIS, UNSPEC H02.059 H11.123 CONJ CONCRETIONS, BIL H43.393 **FLOATERS** H35.373 MACULAR PUCKER BOTH H43.00 VIT PROLAPSE, UNSPECE H18.623 MACULAR PUCKER LT COR DEG, KERATOCONUS T15.10XA FOREIGN BODY CONJ H35,372 COR DEG, SALMANN'S H18.453 T15.00 FOREIGN BODY IN CORNE H35.371 MACULAR PUCKER RT H18.59 CORNEAL DYST, UNSPEC NEOPLASM, CHOROID 89 NEOPLASM, CONTINALIGN H40.013 GLALICOMA SUSPECT D31.30 N18.513 COR DYST, FUCHS H40.1132 GLAUCCINA, MODERATE C69.00

REASON FOR RETURN VISIT:			TOTAL CHARGES:						
RETURN IN: DAYS WEEKS MOI	THS	PAYMENT RECEIVED:							
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March 18, 2021

Via email: shpda.online@shpda.alabama.gov

Hon. Emily T. Marsal

Executive Director

State Health Planning and Development Agency
100 North Union Street
RSA Union Building, Suite 870

Montgomery, AL 36130-3025

Re: Non-Reviewability Determination Request for Parker Cornea, Inc.

Dear Ms. Marsal:

Regarding your letter of 3/18/21:

We do not administer general anesthesia. We are equipped with pulse oximetry and a cardiac monitor ("anesthesia monitoring") which would be used as previously mentioned.

Sincerely,

John Parker, Sr., MD

Cc: John Parker, Jr., MD