CERTIFICATION OF ADMINISTRATIVE RULES FILED WITH THE LEGISLATIVE REFERENCE SERVICE JERRY L. BASSET, DIRECTOR

(Pursuant to Code of Alabama 1975, § 41-22-6, as amended).

I certify that the attached is/are a correct copy/copies of rule/s as promulgated and adopted on the 16th day of March, 2016, and filed with the agency secretary on the 18th day of March, 2016.

AGENCY NAME: State Health Planning and Development Agency (Certificate of Need Review Board)

_____ Amendment; ____ X ___ New; ____ Repeal; (Mark appropriate space)

Rule No. 410-1-3-.12

(If amended rule, give specific paragraph, subparagraphs, etc., being amended)

Rule Title: Annual Reports

ACTION TAKEN: State whether the rule was adopted <u>without</u> changes from the proposal due to written or oral comments;

No public comments were received; the rule was adopted without changes and as published for comment in the Alabama Administrative Monthly.

NOTICE OF INTENDED ACTION PUBLISHED IN VOLUME XXXIV

ISSUE NO. 4, DATED JANUARY 29, 2016.

Statutory Rulemaking Authority: Code of Alabama, 1975 §§ 22-21-271, -274 and -275.

(Date Filed) (For LRS Use Only)

REC'D & FILED

MAR 18 2016

Alva M. Lambert, Executive Director

State Health Planning and Development Agency (Certifying Officer or his or her Deputy)

LEGISLATIVE REF SERVICE

(NOTE: In accordance with § 41-22-6(b), as amended, a proposed rule is required to be certified within 90 days after completion of the notice.)

410-1-3-.12 Annual Reports

- (1) Entities holding Certificate of Need authority are required to file the following annual reports, as adopted by rule, on the due dates specified below:
 - <u>a.</u> Hospitals (Form BHD-134A), due annually by November 30.
 - b. Home Health Agencies (Form DM-1), due annually by November 30.
 - c. Ambulatory Surgery Centers (Form ASC-1), due annually by November 30.
 - d. Specialty Care Assisted Living Facilities (Form SCALF-1), due annually by April 15.
 - e. Hospice Providers (Form HPCE-4), due annually by April 15.
 - f. Skilled Nursing Facilities (Form SNH-F1), due annually by August 15.

All annual reports shall be filed electronically with the Agency pursuant to Rule 410-1-3-.09. Reporting entities shall be subject to administrative penalties for non-compliance as specified in Rule 410-1-3-.11.

Author: Alva M. Lambert

Statutory Authority: §§ 22-4-34 and -35, <u>Code of Alabama</u>, 1975. History: New Rule. Filed: March 18, 2016; effective May 2, 2016.