

**CERTIFICATION OF ADMINISTRATIVE RULES  
FILED WITH THE LEGISLATIVE SERVICES AGENCY  
OTHNI LATHRAM, DIRECTOR**

(Pursuant to Code of Alabama 1975, §41-22-6, as amended).

I certify that the attached is/are correct copy/copies of rule/s as promulgated and adopted on the 19th day of September, 2018, and filed with the agency secretary on the 19th day of September, 2018.

AGENCY NAME: State Health Planning and Development Agency  
(Certificate of Need Review Board, "CONRB")  
X Amendment          New          Repeal (Mark appropriate space)

Rule No. 410-1-3-.12 (1) a., (1) b., and (1) c.  
(If amended rule, give specific paragraph, subparagraphs, etc., being amended)

Rule Title: Annual Reports

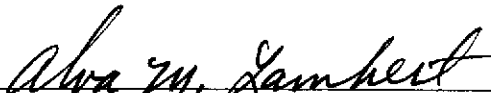
ACTION TAKEN: State whether the rule was adopted with or without changes from the proposal due to written or oral comments:

**No public comments were received; the rule was adopted without changes and as published for comment in the Alabama Administrative Monthly.**

NOTICE OF INTENDED ACTION PUBLISHED IN VOLUME XXXVI,  
ISSUE NO. 10, AAM, DATED July 31, 2018.

Statutory Rulemaking Authority: Code of Alabama §§ 22-4-34 and -35.

(Date Filed)  
(For LRS Use Only)

  
\_\_\_\_\_  
Certifying Officer or his or her  
Deputy

(NOTE: In accordance with §41-22-6(b), as amended, a proposed rule is required to be certified within 90 days after completion of the notice.

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**410-1-3-.12 Annual Reports**

(1) Entities holding Certificate of Need authority are required to file the following annual reports, as adopted by rule, on the due dates specified below:

- a. Hospitals (Form BHD-134A), due annually by December 15.
- b. Home Health Agencies (Form DM-1), due annually by December 15.
- c. Ambulatory Surgery Centers (Form ASC-1), due annually by December 15.
- d. Specialty Care Assisted Living Facilities (Form SCALF-1), due annually by April 15.
- e. Hospice Providers (Form HPCE-4), due annually by April 15.
- f. Skilled Nursing Facilities (Form SNH-F1), due annually by August 15.

All annual reports shall be filed electronically with the Agency pursuant to Rule 410-1-3-.09. Reporting entities shall be subject to administrative penalties for non-compliance as specified in Rule 410-1-3-.11.

Author: Alva M. Lambert

Statutory Authority: §§ 22-4-34 and -35, Code of Alabama, 1975.

History: New Rule. Filed: March 18, 2016; effective May 2, 2016. Filed: September 29, 2018; effective November 3, 2018.