

**CERTIFICATION OF ADMINISTRATIVE RULES  
FILED WITH THE LEGISLATIVE SERVICES AGENCY  
OTHNI LATHRAM, DIRECTOR**

(Pursuant to Code of Alabama 1975, §41-22-6, as amended).

I certify that the attached is/are correct copy/copies of rule/s as promulgated and adopted on the 24th day of January, 2018, and filed with the agency secretary on the 2nd day of February, 2018.

AGENCY NAME : State Health Planning and Development Agency (Statewide Health Coordinating Council)

  X   Amendment        New        Repeal (Mark appropriate space)

Rule No. 410-2-5-.05 (1)-(11)  
(If amended rule, give specific paragraph, subparagraphs, etc., being amended)

Rule Title: Application for State Health Plan Adjustment

ACTION TAKEN: State whether the rule was adopted with or without changes from the proposal due to written or oral comments:

**No public comments were received; the rule was adopted without changes and as published for comment in the Alabama Administrative Monthly.**

NOTICE OF INTENDED ACTION PUBLISHED IN VOLUME XXXVI,  
ISSUE NO. 1, AAM, DATED October 31, 2017.

Statutory Rulemaking Authority: Code of Alabama, 1975 §§ 22-21-260(13), (15).

(Date Filed)  
(For LRS Use Only)

**REC'D & FILED  
FEB 06 2018  
LEGISLATIVE SVC AGENCY**

Alva M. Lambert  
Certifying Officer or his or her  
Deputy  
Alva M. Lambert, Executive Director

(NOTE: In accordance with §41-22-6(b), as amended, a proposed rule is required to be certified within 90 days after completion of the notice.

## 410-2-5-.05 Application for State Health Plan Adjustment

### 1. Requirements

(1) Applicant Identification. An application for a Plan Adjustment must be filed in accordance with SHPDA Rule 410-1-3-.09, and accompanied by the administrative fee specified in Rule 410-2-5-.04(c)(5). The application must include the name of the applicant, physical address, telephone number, the contact person and their mailing address, telephone number, and e-mail address.

(2) Project Description. Provide a narrative statement explaining the nature of the request, with details of the plan adjustment desired. (If the request is for additional beds, indicate the number and type, i.e., Psychiatric, Rehabilitation, Pediatric, Nursing Home, etc.) The narrative should address availability, accessibility, cost, quality of the health care in question, and state with specificity the proposed language of the adjustment.

(3) Service Area. Describe the geographical area to be served. (Provide a 8½" x 11" map of the service area. The map should indicate the location of other similar health care facilities in the area.)

(4) Population Projections. Provide population projections for the service area. In the case of beds for a specific age group, such as pediatric beds or nursing home beds, be sure to document the existence of the affected population. An example for nursing home beds would be the number of persons 65 and older. The applicant must include the source of all information provided.

(5) Need for the Adjustment. Address the current need methodology. If the application is to increase beds or services in a planning area, give evidence that those beds or services have not been available and/or accessible to the population of the area.

(6) Current and Projected Utilization. Provide current and projected utilization of similar facilities or services within the proposed service area.

(7) If additional staffing will be required to support the additional need, indicate the availability of such staffing.

(8) Effect on Existing Facilities or Services. Address the impact this plan adjustment will have on other facilities in the area both in occupancy and manpower.

(9) Community Reaction. Give evidence of project support demonstrated by local community, civic and other organizations. (Testimony and/or comments regarding plan adjustment provided by community leaders, health care professionals, and other interested citizens.)

(10) Provide any other information or data available in justification of the plan adjustment request.

Author: Statewide Health Coordinating Council (SHCC).

Statutory Authority: §§ 22-21-260(13), (15), Code of Alabama, 1975.

History: Effective November 22, 2004. Amended: Filed February 6, 2018; effective: March 23, 2018.